

A. INTRODUCTION

In terms of the General Code of Conduct of the FAIS Act, Platinum Portfolios (Pty) Ltd (Registration number 2000/003145/07) is required to disclose the information in this document to you.

You are therefore requested to read through the document carefully and sign the acknowledgement that you have read and understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us.

You are entitled to a copy of this document for your own records.

B. AUTHORISED FINANCIAL SERVICES PROVIDER

Platinum Portfolios (Pty) Ltd is an authorised financial services provider (FSP number 641) in terms of Section 8 of the FAIS Act.

A copy of our license certificate is available on request.

FSP Name	Platinum Portfolios (Pty) Ltd
Registration Number	2000/003145/07
Postal Address	P O Box 782755, Sandton, 2146
Physical Address	2 nd Floor, 61 Katherine Street, Sandton, 2196
Legal Status of the FSP	Private Company
Contact Person	Charolyn Pedlar
E-mail	info@platinumportfolios.com
Office Telephone Number	+27 (0)11 262 4820

C. COMPLIANCE OFFICER

Name	Oracle Compliance
Physical address	3 rd Floor, 34 Whiteley Road, Melrose Arch
Postal address	
Contact person	Erika Gace
Telephone number	+27 11 100 2551
Fax	086 664 8448
Email address	erika@oraclecompliance.com

FINANCIAL SERVICES AND PRODUCTS

Category Description	Advice Non-automated	Intermediary Other
CATEGORY I		
Long-Term Insurance subcategory B1	X	X
Long-term insurance subcategory B2	X	X
Long-term Insurance subcategory B2-A	X	X
Long-term Insurance subcategory B1-A	X	X
Long-Term Insurance subcategory C	X	X
Retail Pension Benefits	X	X
Pension Funds Benefits	X	X
Shares	X	X
Money market instruments	X	X
Debentures and securitised debt	X	X
Warrants, certificates and other instruments	X	X
Bonds	X	X
Derivative instruments	X	X
Participatory interests in a collective investment scheme	X	X
CATEGORY II - Discretionary FSP		
Long-term Insurance subcategory B1		X
Long-Term Insurance: Category B2-A		X
Long-Term Insurance: Category B1-A		X
Long-term Insurance subcategory C		X
Retail Pension Benefits		X
Pension Funds Benefits		X
Shares		X
Money market instruments		X
Debentures and securitised debt		X

Warrants, certificates and other instruments		X
Bonds		X
Derivative instruments		X
Participatory interests in one or more collective investment schemes		X
Long-term insurance subcategory B2		X

INDEMNITY COVER

Platinum Portfolios (Pty) Ltd holds Professional Indemnity cover.

D. CONFLICT OF INTEREST MANAGEMENT POLICY

Platinum Portfolios (Pty) Ltd has adopted and implemented a Conflict of Interest Management Policy that complies with the provisions of the FAIS Act.

The Conflict of Interest Management Policy can also be obtained from Platinum Portfolios (Pty) Ltd on request by email at info@platinumportfolios.com.

E. COMPLAINTS

Should you wish to pursue a complaint against a key individual or representative of Platinum Portfolios (Pty) Ltd, you should address the complaint in writing.

If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud, at info@faisombud.co.za or telephone number 0860 324 766.

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

A copy of our complaints management policy is available upon request.

F. FINANCIAL INTELLIGENCE CENTRE ACT (FICA)

In terms of FICA, Platinum Portfolios (Pty) Ltd is an accountable institution. We are required to identify our prospective clients, verify the given information and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the relevant authorities.

G. DISCLAIMER

Platinum Portfolios (Pty) Ltd is an authorised financial services provider under the Financial Advisory and Intermediary Services Act (Act No. 37 of 2002) "FAIS" (FSP number: 641).

Past performance is not necessarily indicative of future performance. Buying and selling of financial products entails risk, please ensure that you are always appropriately advised and aware of all risks involved. No guarantee as to investment value or performance of any financial product is given or should be inferred. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions. Illustrations, forecasts or hypothetical data are not guaranteed and are provided for illustrative purposes only. This document does not constitute a solicitation, invitation or investment recommendation.

We do not imply that any specific financial product is suitable. Prior to selecting a financial product or portfolio in which to invest, it is recommended that investors seek independent, specialised financial, legal and tax advice in this regard.

Hedge Funds are collective investment schemes to which the prescribed provisions of the Collective Investment Schemes Control Act (Act 45 of 2002) apply.

The laws of the Republic of South Africa shall govern any claim relating to or arising from the contents of this document.

H. AUTHORISED REPRESENTATIVES AND KEY INDIVIDUALS

The Financial Services Board has duly authorised the following representatives and key individuals to render financial services as defined in terms of the Financial Advisory and Intermediary Services (FAIS) Act in respect of the following financial products:

Cat	Category Description	Charolyn Pedlar Authorised Key Individual and Representative	Mel Melzer Authorised Key Individual and Representative	Stuart Green Authorised Representative
1	Long-Term Insurance subcategory B1	X	X	X
1	Long-term insurance subcategory B2	X	X	X
1	Long-term Insurance subcategory B2-A	X	X	X
1	Long-term Insurance subcategory B1-A	X	X	X
1	Long-Term Insurance subcategory C	X	X	X
1	Retail Pension Benefits	X	X	X

1	Pension Funds Benefits	X	X	X
1	Shares	X	X	X
1	Money market instruments	X	X	X
1	Debentures and securitised debt	X	X	X
1	Warrants, certificates and other instruments	X	X	X
1	Bonds	X	X	X
1	Derivative instruments	X	X	X
1	Participatory interests in a collective investment scheme	X	X	X
Cat	Category Description	Charolyn Pedlar Authorised Key Individual and Representative	Mel Melzer Authorised Key Individual and Representative	Stuart Green Authorised Representative
2	Long-term Insurance subcategory B1	X	X	X
2	Long-Term Insurance: Category B2-A	X	X	X
2	Long-Term Insurance: Category B1-A	X	X	X
2	Long-term Insurance subcategory C	X	X	X
2	Retail Pension Benefits	X	X	X
2	Pension Funds Benefits	X	X	X
2	Shares	X	X	X
2	Money Market Instruments	X	X	X
2	Debentures and Securitised Debt	X	X	X
2	Warrants, certificates and other instruments	X	X	X
2	Bonds	X	X	X
2	Derivative Instruments	X	X	X
2	Participatory interests in one or more collective investment scheme	X	X	X
2	Long-term insurance subcategory B2	X	X	X

Platinum Portfolios (Pty) Ltd accepts responsibility for the activities of the representatives insofar as it is performed within the scope of the contractual agreement between Platinum Portfolios (Pty) Ltd and the representatives.

I. EXEMPTIONS

Platinum Portfolios (Pty) Ltd was not awarded any exemptions from the Act.

J. PRODUCT SUPPLIERS

Platinum Portfolios (Pty) Ltd does not market the products of product suppliers.

K. CLIENT ACKNOWLEDGMENT

I/We the undersigned hereby acknowledge receipt of this Disclosure Document and have read and understand the contents.